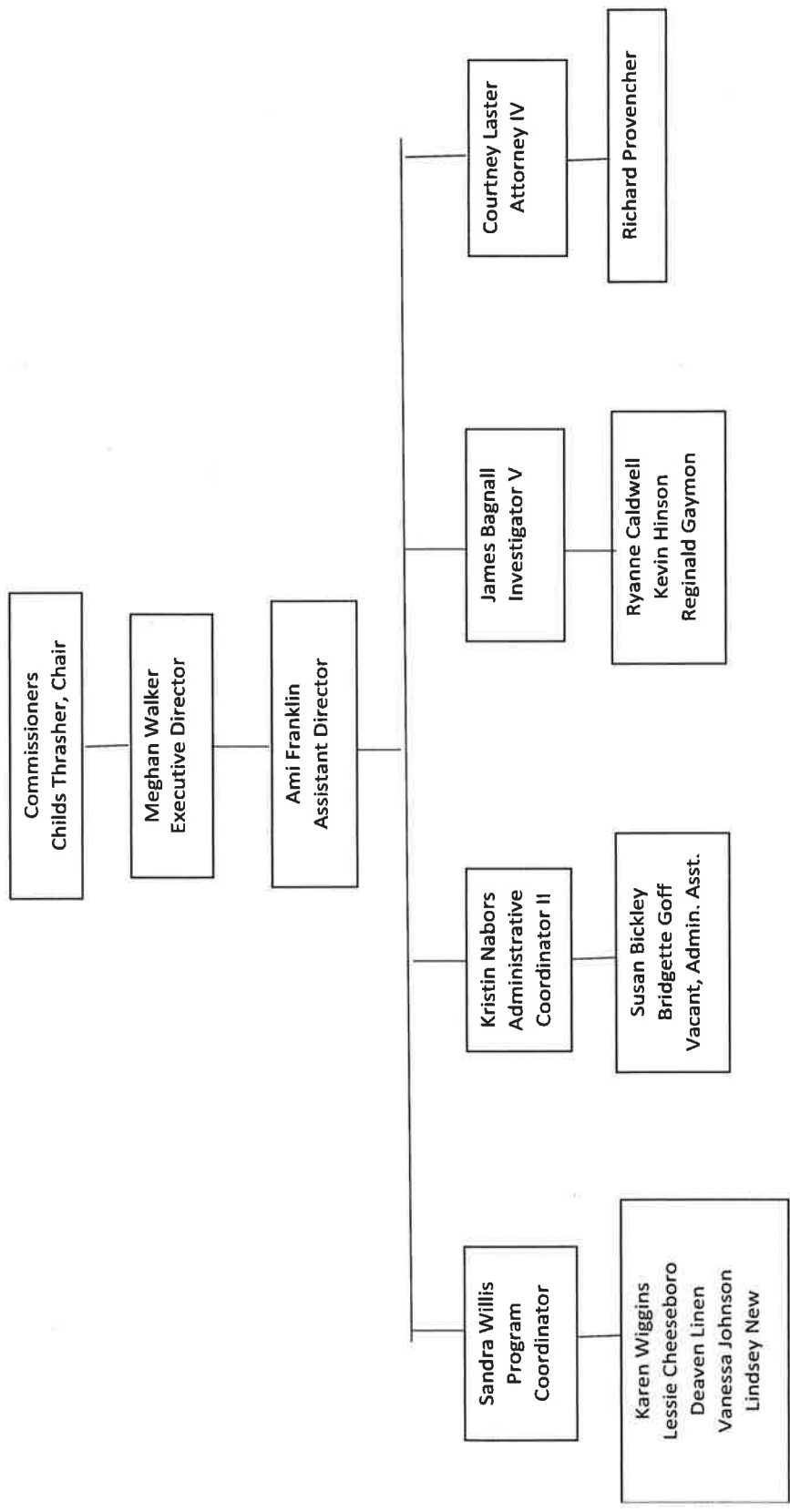


State Ethics Commission

Agency Attendees

Meghan L. Walker, Executive Director
mwalker@ethics.sc.gov 803-253-4192 Ext. 0

Ami R. Franklin, Assistant Director
ami@ethics.sc.gov 803-253-4192 Ext. 0



Budget Request Summary

- The State Ethics Commission does not have any recurring requests for FY21.
- The State Ethics Commission does not have any non-recurring requests for FY21.
- The State Ethics Commission does not have any capital budget requests for FY21.

AGENCY NAME:	State Ethics Commission		
AGENCY CODE:	R520	SECTION:	110



**Fiscal Year 2020-21
Agency Budget Plan**

FORM A - BUDGET PLAN SUMMARY

**OPERATING
REQUESTS
(FORM B1)**

For FY 2020-21, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting General Fund Appropriations.
<input type="checkbox"/>	Requesting Federal/Other Authorization.
<input checked="" type="checkbox"/>	Not requesting any changes.

**NON-RECURRING
REQUESTS
(FORM B2)**

For FY 2020-21, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting Non-Recurring Appropriations.
<input type="checkbox"/>	Requesting Non-Recurring Federal/Other Authorization.
<input checked="" type="checkbox"/>	Not requesting any changes.

**CAPITAL
REQUESTS
(FORM C)**

For FY 2020-21, my agency is (mark "X"):	
<input type="checkbox"/>	Requesting funding for Capital Projects.
<input checked="" type="checkbox"/>	Not requesting any changes.

**PROVISOS
(FORM D)**

For FY 2020-21, my agency is (mark "X"):	
<input checked="" type="checkbox"/>	Requesting a new proviso and/or substantive changes to existing provisos.
<input type="checkbox"/>	Only requesting technical proviso changes (such as date references).
<input type="checkbox"/>	Not requesting any proviso changes.

Please identify your agency's preferred contacts for this year's budget process.

	<i>Name</i>	<i>Phone</i>	<i>Email</i>
PRIMARY CONTACT:	Meghan Walker	803-253-4192	mwalker@ethics.sc.gov
SECONDARY CONTACT:	Ami R. Franklin	803-253-4192	ami@ethics.sc.gov

I have reviewed and approved the enclosed FY 2020-21 Agency Budget Plan, which is complete and accurate to the extent of my knowledge.

	<i>Agency Director</i>	<i>Board or Commission Chair</i>
SIGN/DATE:		
TYPE/PRINT NAME:	Meghan Walker	Childs Canty Thrasher

This form must be signed by the agency head -- not a delegate.

AGENCY NAME:

State Ethics Commission

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110

FORM D – PROVISO REVISION REQUEST

NUMBER

110.1

Cite the proviso according to the renumbered list for FY 2020-21 (or mark "NEW").

TITLE

Ethics Commission Website Changes

Provide the title from the FY 2019-20 Appropriations Act or suggest a short title for any new request.

BUDGET PROGRAM

Administration

Identify the associated budget program(s) by name and budget section.

RELATED BUDGET REQUEST

No

Is this request associated with a budget request you have submitted for FY 2020-21? If so, cite it here.

REQUESTED ACTION

Delete

Choose from: Add, Delete, Amend, or Codify.

OTHER AGENCIES AFFECTED

Senate Ethics Committee
House of Representatives Ethics Committee

Which other agencies would be affected by the recommended action? How?

SUMMARY & EXPLANATION

The vast majority of required filers are public officials, public members and public employees who fall outside the jurisdiction of the House and Senate Ethics Committees. In order to effectively serve these citizens, the State Ethics Commission would like to have the ability to efficiently make system changes to the Public Disclosure and Accountability Reporting System. These intended system changes are merely to update the Reporting System to make it more "user-friendly." The substance of the Reporting System, which is established by statute, could not be changed by the State Ethics Commission.

The State Ethics Commission understands the need to work with both the House and Senate Ethics Committees in implementing change; however, it believes the product and service to citizens would be greatly improved with the deletion of Proviso 110.1.

Summarize the existing proviso. If requesting a new proviso, describe the current state of affairs without it. Explain the need for your requested action. For deletion requests due to recent codification, please identify SC Code section where language now appears.

AGENCY NAME:	State Ethics Commission		
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FISCAL IMPACT

None

Provide estimates of any fiscal impacts associated with this proviso, whether for state, federal, or other funds. Explain the method of calculation.

**PROPOSED
PROVISO TEXT**

~~110.1. (ETHICS: Ethics Commission Website Changes) In the current fiscal year, prior to approving or adopting any changes to the State Ethics Commission Public Disclosure and Accountability Reporting System, the State Ethics Commission shall submit the proposed changes to the Senate Ethics Committee and House of Representatives Ethics Committee for their review and approval. As third party beneficiaries to any agreement between the State Ethics Commission and a vendor relating to the State Ethics Commission Public Disclosure and Accountability Reporting System, the General Assembly through its respective Ethics Committees can submit suggested changes to any proposed agreement or contract relating to the State Ethics Commission Public Disclosure and Accountability Reporting System and the State Ethics Commission shall be required to incorporate those suggestions into any contractual negotiation.~~

Paste FY 2019-20 text above, then bold and underline insertions and strikethrough deletions. For new proviso requests, enter requested text above.

AGENCY NAME:

State Ethics Commission

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FORM E – AGENCY COST SAVINGS AND GENERAL FUND REDUCTION CONTINGENCY PLAN

TITLE

Agency Cost Savings and General Fund Reduction Contingency Plan

AMOUNT

\$44,419

What is the General Fund 3% reduction amount (minimum based on the FY 2019-20 recurring appropriations)? This amount should correspond to the reduction spreadsheet prepared by EBO.

ASSOCIATED FTE REDUCTIONS

1 FTE - Investigator III

How many FTEs would be reduced in association with this General Fund reduction?

PROGRAM/ACTIVITY IMPACT

Program: Administration

What programs or activities are supported by the General Funds identified?

SUMMARY

The State Ethics Commission is a small agency (consisting of 18 employees) that is able to serve South Carolinians because each employee has a multitude of responsibilities and are cross-trained in the various functions of the agency. Any reduction in budget and/or staff would render this agency virtually unable to adequately perform its mission of enforcing the Ethics Reform Act.

The reduction of one FTE Investigator III position would be a cost savings of approximately \$74,000.

Reduction of a law enforcement position would also approximately reduce:

- Vehicle - \$9,000
- Weapons & Ammunition - \$1,000
- Training - \$500
- Uniforms & Supplies - \$1,000
- Desk, Chair, Computer, Office Phone, Cell Phone - \$3,000

Please provide a detailed summary of service delivery impact caused by a reduction in General Fund Appropriations and provide the method of calculation for anticipated reductions. Agencies should prioritize reduction in expenditures that have the least significant impact on service delivery.

AGENCY NAME:

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**AGENCY COST
SAVINGS PLANS**

N/A

What measures does the agency plan to implement to reduce its costs and operating expenses by more than \$50,000? Provide a summary of the measures taken and the estimated amount of savings. How does the agency plan to repurpose the savings?

AGENCY NAME:

State Ethics Commission

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FORM F – REDUCING COST AND BURDEN TO BUSINESSES AND CITIZENS

TITLE

Request for Repeal or Revision of Regulations

Provide a brief, descriptive title for this request.

EXPECTED SAVINGS TO BUSINESSES AND CITIZENS

The following requests pursuant to regulations governing the South Carolina State Ethics Commission will assist the agency in more efficiently and effectively serving the people of South Carolina with a special emphasis on those under the jurisdiction of the State Ethics Commission.

What is the expected savings to South Carolina's businesses and citizens that is generated by this proposal? The savings could be related to time or money.

FACTORS ASSOCIATED WITH THE REQUEST

Mark "X" for all that apply:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Repeal or revision of regulations. |
| <input type="checkbox"/> | Reduction of agency fees or fines to businesses or citizens. |
| <input type="checkbox"/> | Greater efficiency in agency services or reduction in compliance burden. |
| <input type="checkbox"/> | Other |

METHOD OF CALCULATION

N/A

Describe the method of calculation for determining the expected cost or time savings to businesses or citizens.

REDUCTION OF FEES OR FINES

N/A

Which fees or fines does the agency intend to reduce? What was the fine or fee revenue for the previous fiscal year? What was the associated program expenditure for the previous fiscal year? What is the enabling authority for the issuance of the fee or fine?

REDUCTION OF REGULATION

52-204C, 52-205A, B, and C, 52-601B, C and D, 52-607, 52-702(A),

Which regulations does the agency intend to amend or delete? What is the enabling authority for the regulation?

SUMMARY

Repeal of the above regulations will bring consistency to both the regulations and the Ethics Reform Act. Regulations were not repealed to reflect the requirement that filings be done electronically. The continued existence of these regulations has caused some confusion.

Perhaps more importantly, the regulations refer to April 15 as the deadline for required filers to file his or her Statement of Economic Interests – this deadline is inconsistent with the updated deadline of March 30 and has caused some confusion.

Provide an explanation of the proposal and its positive results on businesses or citizens. How will the request affect agency operations?

Law Enforcement and Criminal Justice Subcommittee Proviso Request Summary

Agency Name	
PROVISO # in FY 19-20 Act	Short Summary
Proviso Title	Agency Recommended Action (keep, change, delete, add)

110.1	Commission Wesite Changes	<p>The vast majority of required filers are public officials, public members and public employees who fall outside the jurisdiction of the House and Senate Ethics Committees. In order to effectively serve these citizens, the State Ethics Commission would like to have the ability to efficiently make system changes to the Public Disclosure and Accountability Reporting System. These intended system changes are merely to update the Reporting System to make it more "user-friendly." The substance of the Reporting System, which is established by statute, could not be changed by the State Ethics Commission.</p> <p>The State Ethics Commission understands the need to work with both the House and Senate Ethics Committees in implementing change; however, it believes the product and service to citizens would be greatly improved with the deletion of Proviso 110.1.</p>	Delete
110.2	Commission Meeting	<p>The Ethics Commission must meet at least one time each month and post notice of meeting at leaset twenty-four hours in advance on the agency website.</p>	Keep

FY18 Carry Forward

1001 General Funds - \$130,080.21

- Funds will be used for Other Operating Expenses and increases.

3035 Earmarked Funds - \$955,679.86

- Funds will be used for any expenses which cannot be covered by general funds and any remaining balance will be carried forward to the next year for the same purpose.
- This amount is larger than past years due to the agency relocation project for FY18. The agency attempted to save funds due to the uncertainty of anticipated costs for the move.

FTE Breakdown

FTE's Filled: 17

FTE's Vacant: 1

***The vacancy is an Administrative Assistant position that became vacant January 2, 2020.